## C\*-rigidity of topological dynamical systems Toke Meier Carlsen Cartan C\*-subalgebras and noncommutative dynamics IMPAN Warsaw, Poland 25–28 November 2019



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# C\*-algebras of topological dynamical systems

There is a long tradition for constructing  $C^*$ -algebras from dynamical systems. Motivations for doing this include:

- constructing new examples of C\*-algebras which can be studied via dynamical systems,
- **2** use operator algebra theory to study dynamical systems.
- $C^*$ -rigidity of dynamical systems is the principle that dynamical systems can be recovered, up to a suitable notion of equivalence, from  $C^*$ -algebraic data associated to them.

# **Cantor minimal systems**

- A Cantor minimal system is a pair (X, φ) where X is a totally disconnect compact metric space with no isolated points and φ : X → X is a homeomorphism such that there is no non-trivial closed subspace C ⊆ X such that φ(C) = C. The latter condition is equivalent to the condition that the orbit orb(x) := {φ<sup>n</sup>(x) : x ∈ Z} of any x ∈ X is dense in X.
- Two Cantor minimal systems  $(X, \phi)$  and  $(Y, \psi)$  are strong orbit equivalent if there is a homeomorphism  $h: X \to Y$  and maps  $m, n: X \to \mathbb{Z}$  such that  $h(\phi(x)) = \psi^{m(x)}(h(x))$  and  $h(\phi^{n(x)}(x)) = \psi(h(x))$  for  $x \in X$ , and m and n each have at most one point of discontinuity.

## Theorem (Elliott 1993 and Giordano+Putnam+Skau 1995)

Let  $(X, \phi)$  and  $(Y, \psi)$  be Cantor minimal systems. TFAE:

**1**  $C(X) \rtimes_{\phi} \mathbb{Z}$  and  $C(Y) \rtimes_{\psi} \mathbb{Z}$  are isomorphic.

- 2  $K_0(C(X) \rtimes_{\phi} \mathbb{Z})$  and  $K_0(C(Y) \rtimes_{\psi} \mathbb{Z})$  are isomorphic by an order preserving isomorphism that maps the class of the unit to the class of the unit.
- **(** $X, \phi$ ) and ( $Y, \psi$ ) are strong orbit equivalent.

# **Cantor minimal systems**

- Two Cantor minimal systems  $(X, \phi)$  and  $(Y, \psi)$  are *continuously orbit* equivalent if there is a homeomorphism  $h : X \to Y$  and continuous maps  $m, n : X \to \mathbb{Z}$  such that  $h(\phi(x)) = \psi^{m(x)}(h(x))$  and  $h(\phi^{n(x)}(x)) = \psi(h(x))$  for  $x \in X$ ;
- and they are flip conjugate if there is a homeomorphism  $h: X \to Y$  such that either  $h(\phi(x)) = \psi(h(x))$  for all  $x \in X$ , or  $h(\phi(x)) = \psi^{-1}(h(x))$  for all  $x \in X$ .

### Theorem (Boyle 1983 and Giordano+Putnam+Skau 1995)

Let  $(X, \phi)$  and  $(Y, \psi)$  be Cantor minimal systems. TFAE:

- **1**  $C(X) \rtimes_{\phi} \mathbb{Z}$  and  $C(Y) \rtimes_{\psi} \mathbb{Z}$  are isomorphic by an isomorphism that maps C(X) onto C(Y).
- **2**  $(X, \phi)$  and  $(Y, \psi)$  are continuously orbit equivalent.
- **3**  $(X, \phi)$  and  $(Y, \psi)$  are flip conjugate.

# Topologically transitive dynamical systems on compact spaces

A topologically dynamical system  $(X, \phi)$  consisting of a topological space X and a homeomorphism  $\phi : X \to X$  is *topologically transitive* if there is an  $x \in X$  such that orb(x) is dense in X.

## Theorem (Boyle 1983 and Tomiyama 1996)

Let  $(X, \phi)$  and  $(Y, \psi)$  be topologically transitive dynamical systems on compact metric spaces X and Y. TFAE:

- **1**  $C(X) \rtimes_{\phi} \mathbb{Z}$  and  $C(Y) \rtimes_{\psi} \mathbb{Z}$  are isomorphic by an isomorphism that maps C(X) onto C(Y).
- **2**  $(X, \phi)$  and  $(Y, \psi)$  are continuously orbit equivalent.
- **3**  $(X, \phi)$  and  $(Y, \psi)$  are flip conjugate.

# Topologically free dynamical systems on compact spaces

A topologically dynamical system  $(X, \phi)$  consisting of a topological space X and a homeomorphism  $\phi : X \to X$  is topologically free if the set  $\{x \in X : \phi^n(x) \neq x \text{ for all } n \neq 0\}$  is dense in X.

## **Theorem (Boyle and Tomiyama 1998)**

Let  $(X, \phi)$  and  $(Y, \psi)$  be topologically free dynamical systems on compact Hausdorff spaces X and Y. TFAE:

- **1**  $C(X) \rtimes_{\phi} \mathbb{Z}$  and  $C(Y) \rtimes_{\psi} \mathbb{Z}$  are isomorphic by an isomorphism that maps C(X) onto C(Y).
- **2**  $(X, \phi)$  and  $(Y, \psi)$  are continuously orbit equivalent.
- **3** There exist decompositions  $X = X_1 \sqcup X_2$  and  $Y = Y_1 \sqcup Y_2$  such that  $X_1, X_2, Y_1, Y_2$  are clopen and invariant,  $\phi|_{X_1}$  is conjugate to  $\psi|_{Y_1}$ , and  $\phi|_{X_2}$  is conjugate to  $\psi^{-1}|_{Y_2}$ .

# **Homeomorphisms of compact Hausdorff spaces**

## Theorem (Carlsen+Ruiz+Sims+Tomforde 2017)

Let X and Y be second-countable compact Hausdorff spaces and  $\phi : X \rightarrow X$  and  $\psi : Y \rightarrow Y$  homeomorphisms. TFAE:

- **1**  $C(X) \rtimes_{\phi} \mathbb{Z}$  and  $C(Y) \rtimes_{\psi} \mathbb{Z}$  are isomorphic by an isomorphism that maps C(X) onto C(Y).
- 2 There exist decompositions  $X = X_1 \sqcup X_2$  and  $Y = Y_1 \sqcup Y_2$  such that  $X_1$ ,  $X_2$ ,  $Y_1$ ,  $Y_2$  are clopen and invariant,  $\phi|_{X_1}$  is conjugate to  $\psi|_{Y_1}$  and  $\phi|_{X_2}$  is conjugate to  $\psi^{-1}|_{Y_2}$ .

# C\*-dynamical systems

Two actions  $(A, \alpha)$  and  $(B, \beta)$  of a locally compact group G on two  $C^*$ -algebras Aand B are *conjugate* if there is an isomorphism  $\psi : A \to B$  such that  $\psi \circ \alpha_{\gamma} = \beta_{\gamma} \circ \psi$  for each  $\gamma \in G$ , and they are *outer conjugate* if  $(A, \alpha)$  is conjugate to an action  $\beta'$  on B such that there is a strictly continuous unitary map  $u : G \to M(B)$  such that  $u_{\gamma_1 \gamma_2} = u_{\gamma_1} \beta_{\gamma_1}(u_{\gamma_2})$  for  $\gamma_1, \gamma_2 \in G$ , and  $\beta'_{\gamma} = \operatorname{Ad} \circ \beta_{\gamma}$ for  $\gamma \in G$ .

#### Theorem (Pedersen 1982, Kaliszewski+Omland+Quigg 2018)

Let G be a locally compact group, let  $\alpha$  be an action of G on a C\*-algebra A, and let  $\beta$  be an action of G on a C\*-algebra B. TFAE:

- **1**  $\phi : A \rtimes_{\alpha} G$  and  $\phi : B \rtimes_{\beta} G$  are isomorphic by an isomorphism that maps A onto B and intertwines the dual coactions  $\hat{\alpha}$  and  $\hat{\beta}$ .
- **2**  $(A, \alpha)$  and  $(B, \beta)$  are outer conjugate.

## Theorem (Takesaki 1972, Imai+Takai 1978)

Let G be a locally compact group, let  $\alpha$  be an action of G on a C<sup>\*</sup>-algebra A, and let  $\beta$  be an action of G on a C<sup>\*</sup>-algebra B. TFAE:

- **1**  $\phi$  : A  $\rtimes_{\alpha} G$  and  $\phi$  : B  $\rtimes_{\beta} G$  are isomorphic by an isomorphism that intertwines the dual coactions  $\hat{\alpha}$  and  $\hat{\beta}$ .
- **2**  $(A \otimes \mathcal{K}(L^2(G)), \alpha \otimes \operatorname{Ad} \rho)$  and  $(B \otimes \mathcal{K}(L^2(G)), \beta \otimes \operatorname{Ad} \rho)$  are conjugate (here  $\rho$  is right regular representation of G on  $\mathcal{K}(L^2(G))$ ).

## Theorem (Kaliszewski+Omland+Quigg 2019)

Let G be a discrete group, let  $\alpha$  be an action of G on a C<sup>\*</sup>-algebra A, and let  $\beta$  be an action of G on a C<sup>\*</sup>-algebra B. TFAE:

- **1**  $\phi$  : A  $\rtimes_{\alpha} G$  and  $\phi$  : B  $\rtimes_{\beta} G$  are isomorphic by an isomorphism that intertwines the dual coactions  $\hat{\alpha}$  and  $\hat{\beta}$ .
- **2**  $(A, \alpha)$  and  $(B, \beta)$  are outer conjugate.

## Theorem (Kaliszewski+Omland+Quigg 2019)

Let  $G \cap X$  and  $G \cap Y$  be actions of a locally compact group on locally compact Hausdorff spaces. TFAE:

- **1**  $C_0(X) \rtimes G \rightarrow C_0(Y) \rtimes G$  are isomorphic by an isomorphism that intertwines the dual coactions.
- **2** The actions  $G \cap X$  and  $G \cap Y$  are conjugate.

# **One-sided topological Markov shifts**

- Let A be an  $n \times n$  matrix with entries in {0, 1} and with no zero rows and no zero columns.
- We let  $X_A := \{(x_i)_{i \in \mathbb{N}} : A(x_i, x_{i+1}) = 1 \text{ for all } i \in \mathbb{N}\}$ , equip  $X_A$  with the product topology, and define  $\sigma_A : X_A \to X_A$  by  $\sigma_A((x_i)_{i \in \mathbb{N}}) = (x_{i+1})_{i \in \mathbb{N}}$ . Then  $\sigma_A$  is a surjective local homeomorphism.
- We say that two one-sided topological Markov shifts  $(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$ are *continuously orbit equivalent* if there is a homeomorphism  $h: X_A \to X_B$ and continuous maps  $k, l: X_A \to \mathbb{N}$  and  $k', l': X_B \to \mathbb{N}$  such that  $\sigma_B^{k(x)}(h(\sigma_A(x))) = \sigma_B^{l(x)}(h(x))$  for  $x \in X_A$ , and  $\sigma_A^{k'(x')}(h^{-1}(\sigma_B(x'))) = \sigma_A^{l'(x')}(h^{-1}(x'))$  for  $x' \in X_B$ .
- We say that two one-sided topological Markov shifts  $(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$ are *eventually conjugate* if there is a homeomorphism  $h: X_A \to X_B$  and continuous maps  $k: X_A \to \mathbb{N}$  and  $k': X_B \to \mathbb{N}$  such that  $\sigma_B^{k(x)}(h(\sigma_A(x))) = \sigma_B^{k(x)+1}(h(x))$  for  $x \in X_A$ , and  $\sigma_A^{k'(x')}(h^{-1}(\sigma_B(x'))) = \sigma_A^{k'(x')+1}(h^{-1}(x'))$  for  $x' \in X_B$ .

# **Cuntz-Krieger algebras**

- Let A be an  $n \times n$  matrix with entries in {0, 1} and with no zero rows and no zero columns.
- We let  $\mathcal{O}_A$  be the Cuntz-Krieger algebra of A and  $\mathcal{D}_A$  be the  $C^*$ -subalgebra  $\overline{\text{span}}\{s_{i_1} \dots s_{i_k}s_{i_k}^* \dots s_{i_1}^* : i_1 \dots i_k \in \{0, 1\}^*\}.$
- We let  $\gamma^A$  denote the gauge action on  $\mathcal{O}_A$ . So  $\gamma^A_z$  is for each  $z \in \mathbb{T}$  the automorphism of  $\mathcal{O}_A$  that satisfies that  $\gamma^A_z(s_i) = zs_i$  for each *i*.

# Continuous orbit equivalence and eventual conjugacy of one-sided topological Markov shifts and Cuntz-Krieger algebras

## Theorem (Matsumoto 2010, Carlsen+Eilers+Ortega+Restorff 2019)

Let  $(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$  be one-sided topological Markov shifts. TFAE: **1** There is an isomorphism  $\psi : \mathcal{O}_A \to \mathcal{O}_B$  such that  $\psi(\mathcal{D}_A) = \mathcal{D}_B$ .

**2**  $(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$  are continuously orbit equivalent.

## Theorem (Matsumoto 2017, Carlsen+Rout 2017)

Let  $(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$  be one-sided topological Markov shifts. TFAE:

- **1** There is an isomorphism  $\psi : \mathcal{O}_A \to \mathcal{O}_B$  such that  $\psi(\mathcal{D}_A) = \mathcal{D}_B$  and  $\gamma_z^B \circ \psi = \psi \circ \gamma_z^A$  for every  $z \in \mathbb{T}$ .
- **2**  $(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$  are eventually conjugate.

# **Two-sided topological Markov shifts**

- Let A be an  $n \times n$  matrix with entries in {0, 1} and with no zero rows and no zero columns.
- We let  $\bar{X}_A := \{(x_i)_{i \in \mathbb{Z}} : A(x_i, x_{i+1}) = 1 \text{ for all } i \in \mathbb{Z}\}$ , equip  $\bar{X}_A$  with the product topology, and define  $\bar{\sigma}_A : \bar{X}_A \to \bar{X}_A$  by  $\bar{\sigma}_A((x_i)_{i \in \mathbb{Z}}) = (x_{i+1})_{i \in \mathbb{Z}}$ . Then  $\bar{\sigma}_A$  is a homeomorphism.
- We say that two two-sided topological Markov shifts  $(\bar{X}_A, \bar{\sigma}_A)$  and  $(\bar{X}_B, \bar{\sigma}_B)$ are flow equivalent if there is a homeomorphism  $h : (\bar{X}_A \times \mathbb{R})/\sim \rightarrow (\bar{X}_B \times \mathbb{R})/\sim$ that maps flow lines onto flow lines in an orientation preserving way, where  $\sim$  is the equivalence relation on  $\bar{X}_A \times \mathbb{R}$  generated by  $(\bar{\sigma}_A(x), t) \sim (x, t+1)$ , and a flow line is a set of the form  $\{[x, t] : t \in \mathbb{R}\}$ .
- We say that two two-sided topological Markov shifts  $(\bar{X}_A, \bar{\sigma}_A)$  and  $(\bar{X}_B, \bar{\sigma}_B)$  are *conjugate* if there is a homeomorphism  $h : \bar{X}_A \to \bar{X}_B$  such that  $h(\bar{\sigma}_A(x)) = \bar{\sigma}_B(h(x))$  for  $x \in \bar{X}_A$ .
- We let *K* denote the *C*\*-algebra of compact operators on *I*<sup>2</sup>(ℕ) and let *C* be the *C*\*-subalgebra span{*θ<sub>ii</sub>* : *i* ∈ ℕ}.

# Flow equivalence and conjugacy of two-sided topological Markov shifts and Cuntz-Krieger algebras

Theorem (Cuntz+Krieger 1980, Matsumoto+Matui 2014, Carlsen+Eilers+Ortega+Restorff 2019)

- Let  $(\bar{X}_A, \bar{\sigma}_A)$  and  $(\bar{X}_B, \bar{\sigma}_B)$  be two-sided topological Markov shifts. TFAE: **1**  $(\bar{X}_A, \bar{\sigma}_A)$  and  $(\bar{X}_B, \bar{\sigma}_B)$  are flow equivalent.
  - **2** There is an isomorphism  $\psi : \mathcal{O}_A \otimes \mathcal{K} \to \mathcal{O}_B \otimes \mathcal{K}$  such that  $\psi(\mathcal{D}_A \otimes \mathcal{C}) = \mathcal{D}_B \otimes \mathcal{C}$ .

## Theorem (Cuntz+Krieger 1980, Cuntz 1981, Carlsen+Rout 2017)

- Let  $(\bar{X}_A, \bar{\sigma}_A)$  and  $(\bar{X}_B, \bar{\sigma}_B)$  be two-sided topological Markov shifts. TFAE: ( $\bar{X}_A, \bar{\sigma}_A$ ) and  $(\bar{X}_B, \bar{\sigma}_B)$  are conjugate.
  - **2** There is an isomorphism  $\psi : \mathcal{O}_A \otimes \mathcal{K} \to \mathcal{O}_B \otimes \mathcal{K}$  such that  $\psi(\mathcal{D}_A \otimes \mathcal{C}) = \mathcal{D}_B \otimes \mathcal{C}$ and  $(\gamma_z^B \otimes id) \circ \psi = \psi \circ (\gamma_z^A \otimes id)$  for every  $z \in \mathbb{T}$ .

# C\*-rigidity of étale groupoids

- A *groupoid* is a small category in which every morphism has an inverse.
- A topological groupoid is *étale* if  $r: G^{(1)} \to G^{(0)}$  (equivalently  $s: G^{(1)} \to G^{(0)}$ ) is a local homeomorphism.
- A second-countable locally compact Hausdorff étale groupoid G is topologically principal (or effective) if the interior of  $\{\eta \in G^{(1)} : r(\eta) = s(\eta)\}$  is  $\{1_x : x \in G^{(0)}\}$ .

## **Theorem (Renault 2008)**

Let  $G_1$  and  $G_2$  be topologically principal second-countable locally compact Hausdorff étale groupoids. TFAE:

**1** There is an isomorphism  $\psi : C_r^*(G_1) \to C_r^*(G_2)$  such that  $\psi(C_0(G_1^{(0)})) = C_0(G_2^{(0)}).$ 

**2**  $G_1$  and  $G_2$  are topologically isomorphic.

# **Graded groupoids**

- Let  $\Gamma$  be a topological group. A *cocycle* from G to  $\Gamma$  is a map  $c : G^{(1)} \to \Gamma$  such that  $c(\eta^{-1}) = c(\eta)^{-1}$  for  $\eta \in G^{(1)}$ , and  $c(\eta_1 \eta_2) = c(\eta_1)c(\eta_2)$  for  $(\eta_1, \eta_2) \in G^{(2)}$ .
- A continuous cocycle  $c: G^{(1)} \to \Gamma$  induces a  $\Gamma$ -grading  $\{c^{-1}(\gamma)\}_{\gamma \in \Gamma}$  of  $G^{(1)}$ (i.e.,  $\bigcup_{\gamma \in \Gamma} c^{-1}(\gamma) = G^{(1)}, c^{-1}(\gamma_1) \cap c^{-1}(\gamma_2) = \emptyset$  for  $\gamma_1 \neq \gamma_2$ , and  $\eta_1 \eta_2 \in c^{-1}(\gamma_1 \gamma_2)$  if  $(\eta_1, \eta_2) \in G^{(2)}, \eta_1 \in c^{-1}(\gamma_1)$ , and  $\eta_2 \in c^{-1}(\gamma_2)$ ).
- It also induces a coaction  $\delta_c : C_r^*(G) \to C_r^*(G) \otimes C_r^*(\Gamma)$  such that  $\delta_c(f) = f \otimes \lambda_g$ whenever  $g \in \Gamma$  and  $f \in C_c(G^{(1)})$  with  $\operatorname{supp}(f) \subseteq c^{-1}(g)$  (here  $\lambda$  is the left-regular representation of  $\Gamma$  on  $C_r^*(\Gamma)$ ).

## Theorem (Carlsen+Ruiz+Sims+Tomforde 2017)

Let  $\Gamma$  be a discrete group and let  $(G_1, c_1)$  and  $(G_2, c_2)$  be  $\Gamma$ -graded second-countable locally compact Hausdorff étale groupoids such that the interior of  $\{\eta \in c^{-1}(e) : r(\eta) = s(\eta)\}$  is torsion-free and abelian. TFAE:

**1** There is an isomorphism  $\psi : C_r^*(G_1) \to C_r^*(G_2)$  such that

$$\psi(C_0(G_1^{(0)})) = C_0(G_2^{(0)}) \text{ and } \delta_{c_2} \circ \psi = (\psi \otimes id) \circ \delta_{c_1}.$$

**2** There is a topological isomorphism  $\phi : G_1 \rightarrow G_2$  such that  $c_2 \circ \phi = c_1$ .

Thank you for your attention.